

Attorney Work Product No. H00498/70155 TJO

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

**COPY OF PAPERS
ORIGINALLY FILED**

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

FABRICATION OF CERAMIC MICROSTRUCTURES

the specification of which is attached hereto unless the following is checked:

[X] was filed on August 27, 2001, as Application No. 09/940,072.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

Prior Foreign PCT International Application(s) and any priority claims under 35 U.S.C. §§119 and 365(a),(b):

Priority
Claimed

<u>(Number)</u>	<u>(Country-if PCT, so indicate)</u>	<u>(DD/MM/YY Filed)</u>	<input type="checkbox"/> YES	<input type="checkbox"/> NO
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

60/233,156
(Application Number)

09/18/00
(filing date)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application No.)

(filing date)

(status-patented, pending, abandoned)

PCT International Applications designating the United States:

(PCT Appl. No.)

(U.S. Ser. No.)

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(status-patented,pending,abandoned)

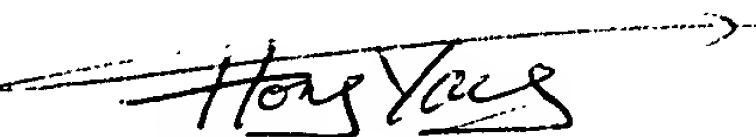
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Robert M. Abrahamsen	40,886	Jason M. Honeyman	31,624	Stanley Sacks	19,900
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Address all telephone calls to Timothy J. Oyer at telephone no. (617) 720-3500. Address all correspondence to:

Timothy J. Oyer
c/o Wolf, Greenfield & Sacks, P.C.,
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 02210-2211

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.


Inventor's signature

Full name of first joint inventor:

Hong Yang

Citizenship:

Canadian

Residence:

55 Branch Avenue, Rochester, NY 14618

Post Office Address:

Same as above

Nov 17, 2001

Date

Inventor's signature

Date

Full name of second joint inventor:

Pascal Deschatelets

Citizenship:

Canadian

Residence:

65 Mt. Auburn #53, Cambridge, MA 02138

Post Office Address:

Same as above

Inventor's signature

Date

Full name of fifth joint inventor:

George M. Whitesides

Citizenship:

United States

Residence:

124 Grasmere Street, Newton, MA 02158

Post Office Address:

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Citizenship:

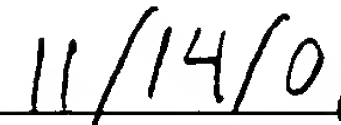
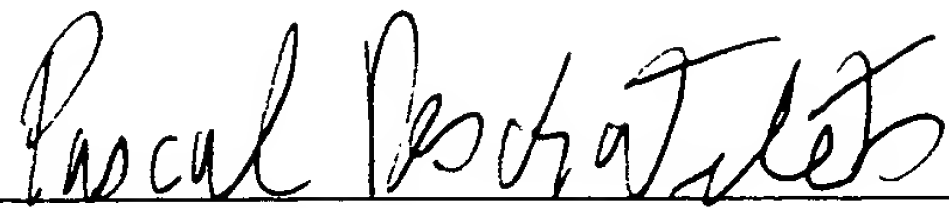
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Post Office Address:

Same as above

2183, 12th Street, Troy, NY 12180

PD

Inventor's signature**Date**

Full name of fifth joint inventor:

George M. Whitesides

Citizenship:

United States

Residence:

124 Grasmere Street, Newton, MA 02158

Post Office Address:

Same as above

JAN 28 2002
FEDERAL OFFICE

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Full name of first joint inventor:

Hong Yang

Citizenship:

Canadian

Residence:

55 Branch Avenue, Rochester, NY 14618

Post Office Address:

Same as above

Inventor's signature

Date

Full name of second joint inventor: Pascal Deschatelets
Citizenship: Canadian
Residence: 65 Mt. Auburn #53, Cambridge, MA 02138
Post Office Address: Same as above

Inventor's signature

Date

Full name of fifth joint inventor: George M. Whitesides
Citizenship: United States
Residence: 124 Grasmere Street, Newton, MA 02158
Post Office Address: Same as above

12/21/01



Attorney's Docket No. H00498/70155 TJO

Applicant: Hong Yang et al.
Serial No.: 09/940,072
Filed: August 27, 2001
For: FABRICATION OF CERAMIC MICROSTRUCTURES

**COPY OF PAPERS
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**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(e) and 1.27(d) - NONPROFIT ORGANIZATION)**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: President and Fellows of Harvard College
ADDRESS OF ORGANIZATION: 17 Quincy Street
Cambridge, MA 02138

TYPE OF ORGANIZATION: UNIVERSITY OR OTHER INSTITUTION OF HIGHER
EDUCATION

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code with regard to the invention FABRICATION OF CERAMIC MICROSTRUCTURES by inventor(s) Hong Yang et al., described in application serial no. 09/940,072, filed 08/27/01.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above-identified invention.

LIST OF OTHER CONCERNS HAVING RIGHTS IN THE INVENTION

If the rights held by the small business or nonprofit organization or individual inventor are not exclusive, each additional individual concern or organization having rights to the invention is listed below. (If your rights are exclusive, then no such person, concern or organization should be selected).

- [X] no such person, concern or organization
[] persons, concerns or organizations listed below*

*NOTE: Separate statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

FULL NAME
ADDRESS

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

The concern or inventor identified above acknowledges the duty to notify the U.S. PTO of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

PRINTED NAME OF PERSON SIGNING:

TITLE OF PERSON (if other than owner):

ADDRESS OF PERSON SIGNING:

Joyce Brinton, Director

Office for Technology and Trademark Licensing

Harvard University



Signature

11/6/01

Date